

No. W-11011/21 /2015/Water-I-Part(1)
Government of India
Ministry of Drinking Water & Sanitation

4th Floor,
Pt. Deendayal 'Antyodaya' Bhawan,
CGO Complex, Lodhi Road,
New Delhi-11 0003
Dated: .22.04.2019

To
Addl. Chief Secretaries / Pr. Secretaries / Secretaries
In charge of Rural Water Supply
All States / UTs.

Subject: Booking of expenditure under NRDWP-Coverage fund

Madam / Sir,

Please refer to this Ministry's letter of even number dated 11.03.2019 wherein States were asked to book their expenditure in order of following financial progress band of schemes:

- (a) 100%
- (b) $\geq 75\%$ but $< 75\%$
- (c) $\geq 50\%$ but $< 75\%$
- (d) $\geq 25\%$ but $< 50\%$

with exception for States which have no schemes with financial progress equal to or more than 25%.

2. Upon review of submission of AAP formats in IMIS by States and request of various States seeking flexibility for various reasons in respect of booking of expenditure, this Ministry has decided to accord following flexibility to States:

- a) States can book the expenditure in any of the schemes which are in the band of financial progress of more than or equal to 25% while giving priority to expenditure in the scheme of financial progress in higher band.
- b) States can book the expenditure in the schemes in the band of financial progress of less than 25% only when 'balance committed liability in the band of financial progress of more than or equal to 25%' is less than or equal to '20% of unspent balance of GoI fund and State matching share put together'.

Yours faithfully,



(A.K. Srivastava)

Under Secretary to the Govt. of India.

W-11011/21/2015-Water-I-Part(1)
Government of India
Ministry of Drinking Water & Sanitation

4th Floor,
Pt. Deendayal 'Antyodaya' Bhawan,
CGO Complex, Lodhi Road,
New Delhi-11 0003
Dated: 11.03.2019

To
The Principal Secretary / Secretary,
In-charge, Rural Water Supply Department,
All States / UTs

Subject: Taking up of new schemes and booking of expenditure under NRDWP-Coverage

Reference: Copy enclosed for ready reference

- i. W-11041-9/2015-Water-I dated 05.06.2018
- ii. W-11041/9/2015-Water-I dated 27.07.2018
- iii. W-11012/1/2018-Water-I dated 17.09.2018
- iv. W-11011/21/2015-Water-I(Part-I) dated 16.11.2018

Madam / Sir,

Please refer to letters of this Ministry under reference on the subject matter.

Regarding booking of expenditure:

Initially, States were allowed to book the expenditure on schemes in order of following bands of physical progress:

- a) 100%
 - b) $\geq 75\%$ but $< 100\%$
 - c) $\geq 50\%$ but $< 75\%$
 - d) $\geq 25\%$ but $< 50\%$
2. However, vide letter under reference (iii), States were allowed to utilize the funds on all ongoing schemes irrespective of physical progress if the same is equal to or more than 25% which was to be effective till 31.03.2019.
3. And vide letter under reference (ii), States were allowed to utilize the funds on schemes of exceptional category (covering SAGY GPs, ODF declared villages, JE-AES affected districts, Aspirational districts and Swajal) irrespective of the stage of physical progress.
4. The entire issue has been discussed in various meetings with the States. It has been decided to keep the band with respect to financial progress instead of physical progress. Since, almost entire country has now become ODF, continuing the exception for booking of expenditure has lost its relevance. As such, following has been decided:
- Booking of the expenditure on schemes in order of following bands of financial progress:
 - a) 100%
 - b) $\geq 75\%$ but $< 100\%$
 - c) $\geq 50\%$ but $< 75\%$
 - d) $\geq 25\%$ but $< 50\%$
 - Exception-1: Swajal schemes would be the only exception to the above and in which expenditure can be booked irrespective of financial progress
 - Exception-2: If a State has no scheme with financial progress equal to or more than 25%, they can book the expenditure on schemes with financial progress less than 25%

Regarding taking up of new schemes:

5. States at present allowed to take up new schemes under NRDWP only when the net committed liability of all ongoing schemes under NRDWP is less than the amount of unspent balance & 3 times of annual allocation and State matching share thereof at any point of time. There will be no exemption [except for NWQSM, RWSSP-LIS and JE/AES (which will also have same logic)].
6. This has been reviewed and now following has been decided in this respect:
 - States would be allowed to take up new schemes under NRDWP only when the net committed liability of all ongoing schemes under NRDWP is less than the amount of unspent balance & 1.5 times of annual allocation and State matching share thereof at any point of time. There will be no exemption [except for NWQSM, RWSSP-LIS and JE/AES (which will also have same logic)]. Swajal schemes would be an exception for this.

Both the directions in respect of subject matter stated at para 4 and 6 above would be effective from 01.04.2019 through IMIS. States may plan their activities accordingly.

Yours sincerely



11.3.19
(A. K. Srivastava)

Under Secretary to the Govt. of India

W-11041/9/2015-Water-I
Government of India
Ministry of Drinking Water & Sanitation

4th Floor,
Pt. Deendayal 'Antyodaya' Bhawan
CGO Complex, Lodhi Road,
New Delhi-110 003
Dated: 05.06.2018


To
The Principal Secretary / Secretary
In-charge, Rural Water Supply
All States

Subject: Utilization of NRDWP funds

Madam / Sir,

1. As huge committed liability against substantial number of rural water supply schemes taken up under NRDWP was there, the Ministry had directed the States not to take up any new schemes.
2. Further, for expeditious completion of such ongoing schemes, States had been directed to utilize the NRDWP funds first on the schemes physically completed but financially not completed and then in the order as under with respect to physical progress of the schemes:
 - o $\geq 75\%$ but $<100\%$
 - o $\geq 50\%$ but $<75\%$
 - o $\geq 25\%$ but $<50\%$
3. However, through various letters of this Ministry, States were allowed to take up new rural water supply schemes in arsenic / fluoride affected habitations, SAGY GPs, ODF declared villages, JE-AES districts and aspirational districts.
4. States have also been allowed to book the expenditure on the schemes in areas stated at para 3 above, irrespective of stage of physical completion.
5. Now, it has been decided by the competent authority that State may continue to take up new schemes in the exception categories as stated at para 3. However, they are now not allowed to book the expenditure irrespective of stage of physical completion even in the exceptional categories as mentioned above. For this, they must follow the instructions at para 2 above. However, any Single Village Scheme (Swajal Schemes) which are covering non-PWS schemes are permitted.

Yours faithfully,


(A.K. Srivastava)

Under Secretary to the Govt. of India

W-11041/9/2015-Water-I
Government of India
Ministry of Drinking Water & Sanitation

4th Floor,
Pt. Deendayal 'Antyodaya' Bhawan
CGO Complex, Lodhi Road,
New Delhi-110 003
Dated: 27.07.2018

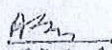
To
The Principal Secretary / Secretary
In-charge, Rural Water Supply
All States

Subject: Utilization of NRDWP funds the schemes of exceptional categories
Ref.: The letter of even number dated 05.06.2018

Madam / Sir,

1. Please refer letter under reference asking the States to book the expenditure under NRDWP on the schemes in the order of decreasing level of physical progress even for exception categories covering SAGY GPs, ODF declared Villages, JE-AES affected districts and Aspirational districts.
2. Further, in view of the above restrictions, it has been found that the States are facing difficulties in utilisation of funds resulting in poor pace of expenditure.
3. This matter has been considered and it has now been decided to allow the States to utilise the funds for the schemes of exceptional categories (covering SAGY GPs, ODF declared Villages, JE-AES affected districts, Aspirational districts and Swajal) irrespective of stage of physical progress of such schemes.

Yours faithfully,


27.7.18
(A.K. Srivastava)

Under Secretary to the Govt. of India

No. 11012/1/2018-Water-I
Government of India
Ministry of Drinking Water & Sanitation

4th Floor,
Pt. Deendayal 'Antyodaya' Bhawan
CGO Complex, Lodhi Road,
New Delhi-110 003
Dated: 17.09.2018

To
The Principal Secretary / Secretary
In-charge, Rural Water Supply
All States / UTs

Subject: Utilization of funds under NRDWP.

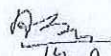
Madam / Sir,

As per the existing instructions, funds under NRDWP could be utilized by the States in the schemes with 75% physically completed first and then on schemes with 50-75% physically completed and then 25-50% physically completed.

2. However, in order to increase the pace of utilization of funds by the States under NRDWP, this Ministry has decided to give more flexibility to States. **Henceforth, States are allowed to utilize the funds on all ongoing schemes irrespective of the stages of completion if the stage of completion of schemes is more than 25%. This relaxation is for the year 2018-19 only.**

3. This issues with the approval of competent authority.

Yours sincerely,


17.9.18
(A.K. Srivastava)

Under Secretary to the Govt. of India

Copy to:

Sr. TD, NIC for hoisting the letter on the website of the Ministry

W-11011/21/2015-Water-I-Part(I)
Government of India
Ministry of Drinking Water and Sanitation

4th Floor,
Pt. Deendayal 'Antyodaya' Bhawan
CGO Complex, Lodhi Road,
New Delhi - 110003
Dated: 16.11.2018

To
The Principal Secretaries/ Secretaries,
In-charge, Rural Water Supply Department,
All States / UTs

Subject: Taking up of new schemes under NRDWP

Madam / Sir,

1. National Rural Drinking Water Programme (NRDWP) is a centrally sponsored scheme through which this Ministry provides financial & technical assistance to States for improving coverage of Piped Water Supply (PWS) in rural areas. For this, allocation in 2018-19 is Rs. 7000 Crore and State wise break up has already been conveyed to States and has also been uploaded in IMIS.
2. As execution of PWS normally takes 1-3 years depending on the size of scheme, Ministry had advised States to take up schemes up to three times of average annual allocation & state matching share. At present, States are not allowed to take up new schemes with exception of some category (like ODF villages, SAGY GPs, Arsenic / Fluoride affected habitations, Aspirations districts, Swajal scheme). However, it has been noted that many States have gone much beyond the said limit which has increased the overall committed liability under NRDWP many fold. Further, States which already have schemes more than the said limit, their committed liability is getting increased with taking up of new schemes under exempted category.
3. To have a discipline in this regard, it has been decided to allow states for taking up new schemes under NRDWP only when the net committed liability of all ongoing schemes under NRDWP is less than the amount of unspent balance & 3 times of annual allocation and State matching share thereof at any point of time. There will be no exemption [except for NWQSM, RWSSP-LIS and JE-AES (which will also have same logic)] for this rule which can be enforced through IMIS. Calculation in this regard on status as on 31.10.18 is enclosed. Change in status will be dynamic

depending on the reduction in committed liability. However, States are free to take up any type of schemes not funded from NRDWP.

4. If the State feels that a scheme (in which no expenditure has been made and no coverage has been shown) will not be progressed under NRDWP, such scheme either can be deleted or source of funding under NRDWP can be un-checked in IMIS. Further, in case, total expenditure on a scheme is estimated now to be less than the estimated cost presently entered in IMIS, the State can reduce the estimated cost in IMIS. With both the above actions, 'ratio of liability / allocation' would get reduced which will facilitate the State to take up new schemes.

Yours sincerely

R. K. S. L.

(Rajesh Kumar)
Director (Water)

S. No.	State	Ongoing PWS schemes (C39)	Balance liability (C39)	Unspent amount (all component) as on 31.10.2018 (D1-d)	Central Allocation NRDWP coverage 2018-19	State : Central	Unspent amount as on 31.10.2018 + 5 x Allocation NRDWP coverage 2018-19	State matching share	Ceiling to take up new schemes	Ceiling liability	States which can take up schemes as on 31.10.2018	Amount of scheme which can be taken up
		Nos.	Rs. Crore	Rs. Crore	Rs. Crore	G	Rs. Crore	I = GxH	Rs. Crore	K = J-D	L	M
A	B	C	D	E	F		H = E+(3x F)	J = H+I			Yes	
1	ANDAMAN & NICOBAR	-	-	-	1	-	3	-	3	3	Yes	3
2	ANDHRA PRADESH	1599	813	64	187	1.00	626	625.63	1,251	438	Yes	438
3	ARUNACHAL PRADESH	517	160	34	97	0.11	325	36.12	361	201	Yes	201
4	ASSAM	2,011	1,605	473	381	0.11	1,616	179.54	1,795	190	Yes	190
5	BIHAR	1,476	1,718	427	523	1.00	1,397	1,397.04	2,794	1076	Yes	1076
6	CHHATTISGARH	945	100	22	95	1.00	305	305.34	611	511	Yes	511
7	GOA	2	136	-	3	1.00	10	10.62	20	(116)	No	
8	GUJARAT	148	1,205	2	82	1.00	713	712.82	1,426	221	Yes	221
9	HARYANA	924	793	31	87	1.00	277	276.76	554	(239)	No	
10	HIMACHAL PRADESH	448	1,466	18	91	0.11	291	32.58	324	(1142)	No	
11	JAMMU & KASHMIR	1,242	1,268	62	369	0.11	989	109.92	1,099	(169)	No	
12	JHARKHAND	2,294	626	100	133	1.00	499	499.06	998	372	Yes	372
13	KARNATAKA	12,817	4,931	95	312	1.00	1,032	1,031.82	2,064	(2863)	No	
14	KERALA	325	1,504	58	88	1.00	323	322.80	646	(858)	No	
15	KHARAYA PRADESH	1,357	1,001	44	273	1.00	863	862.93	1,726	725	Yes	725
16	MAHARASHTRA	2,687	1,123	248	470	1.00	1,638	1,638.28	3,317	2194	Yes	2194
17	MANIPUR	477	65	-	40	0.11	121	13.42	134	69	Yes	69
18	MEGHALAYA	328	369	4	52	0.11	162	17.97	180	(189)	No	
19	MIZORAM	17	11	2	28	0.11	86	9.50	95	84	Yes	84
20	NAGALAND	26	9	-	35	0.11	104	11.57	116	107	Yes	107
21	ODISHA	4,685	1,522	69	155	1.00	534	535.82	1,068	(454)	No	
22	PUDUCHERRY	-	-	1	1	-	5	-	5	5	Yes	5
23	PUNJAB	408	876	119	195	1.00	434	434.20	868	(7)	No	
24	RAJASTHAN	440	10,226	590	588	1.00	2,353	2,352.70	4,705	(3521)	No	
25	SIKKIM	128	66	-	12	0.11	38	3.87	39	(27)	No	
26	TAMIL NADU	171	1,015	41	176	1.00	368	568.13	1,136	122	Yes	122
27	TELANGANA	1,160	2,997	67	131	1.00	461	461.45	923	(2074)	No	
28	TRIPURA	90	23	32	55	0.11	198	22.00	220	197	Yes	197
29	UTTAR PRADESH	1,692	2,399	178	503	1.00	1,686	1,686.12	3,372	973	Yes	973
30	UTTARAKHAND	458	200	36	99	0.11	334	37.09	371	81	Yes	81
31	WEST BENGAL	1,151	10,862	851	498	1.00	2,075	2,075.07	4,150	(6712)	No	
Total		40,123	49,179	3,671	5,471		20,083	16,387	36,370			7,567